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Review

Towards Production of Cost-Effective Modification of SmCo₅-Type Alloys Suitable for Permanent Magnets

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Abstract: SmCo₅ constitutes one of the strongest classes of permanent magnets, which exhibit magnetocrystalline anisotropy with uniaxial character and enormous energy and possess high Curie temperature. However, the performance of SmCo₅ permanent magnets is hindered by a limited energy product and relatively high supply risk. Sm is a moderately expensive element within the lanthanide group, while Co is a more expensive material than Fe, making SmCo₅-based permanent magnets among the most expensive materials in the group. Subsequently, the need for new materials with less content in critical and thus expensive resources is obvious. A promising path of producing new compounds that meet these requirements is the chemical modification of established materials used in PM towards the reduction of expensive resources, for example, reducing Co content with transition metals (like Fe, Ni) or using as substitutes raw rare earth materials with greater abundance than global demand, like Ce and La. Important instruments to achieve these goals are theoretical calculations, such as ab initio methods and especially DFT-based calculations, in predicting possible stable RE-TM intermetallic compounds and their magnetic properties. This review aims to present the progress of recent years in the production of improved SmCo₅-type magnets.

Keywords: SmCo₅ magnet; intermetallic compounds; structure and magnetic properties



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1. Introduction

Moving away from fossil fuels is of great environmental, political, and economic importance. It is also a fact that energy transition is a materials transition, because all modern environmentally friendly technologies also need new, advanced materials. Also, the transportation infrastructures and whole energy system must become more efficient and sustainable. The most intense driving factors for permanent magnets are the transportation sector, energy production and other emerging markets like robotics [1]. Rare earths play a vital role in this transition scheme, and among all applications it is worth underlining the materials for permanent magnets, the most important element in the rare-earth market, at least for some of these elements. The permanent magnet market is divided into two major categories: high-performing and expensive rare-earth magnets and conventional, older materials based on other Fe compounds or transition metals like alnico or ferrites. A famous gap exists between these two categories; this gap is the focus of many research efforts because the potential discovery of materials that can be used in this area may release resources for high-performance applications while also improving the design of other applications that are now based on low-performing magnets [2]. For all these reasons, the expected demand for permanent magnets is difficult to meet from the current supply

Perhaps the most important improvement in high- and medium-performing permanent magnets is the combination of materials supply risk and temperature endurance. High-temperature applications of the Nd-Fe-B system are limited due to the very low Curie

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temperature of the $Nd_2Fe_{14}B$ main phase, just 588 K [5]. Minor improvements to achieve functionality in the vicinity of 450 °C require processing with heavy rare earths, mostly Dy and Tb, raw materials that are expensive and immensely scarce. On the other hand, permanent magnets based on $SmCo_5$ have improved temperature resistance, with the main phase having a Curie temperature of 1020 K. Since the mid-sixties, Sm-Co permanent magnets have been the primary high-performance ones [6–9], and they still dominate high-temperature applications even today [10,11].

Permanent magnets are widely used for various applications like electric motors, sensors, magnetic separators, and magnetic resonance imaging (MRI) machines. The growing adoption of electric vehicles (EVs) is a major factor driving the demand for permanent magnets, mostly in EV motors. Additionally, the increasing focus on renewable energy, especially in offshore wind turbines, is providing further growth opportunities for the market [12].

Sm-Co based magnets are composed of Co, which is characterized as a critical material, and Sm [13,14]. Sm is a relatively expensive element within the lanthanide group. On the other hand, Co is much more expensive than Fe, making these alloys the most expensive class of materials used in permanent magnets. As a result, these magnets are economically viable, mainly in high-temperature applications where they cannot be replaced by other materials [15–18]. The cobalt price is expected to exceed 30,000 USD per metric ton in 2024 [19]. Iron is very abundant, and its cost is in general not a factor for consideration; however, the other transition metals like nickel and copper are not as cheap as iron. Copper is about 8500 USD per metric ton due to its importance as a low electrical resistance material in cables and wires. Nickel, a material important in applications like rechargeable batteries, is more expensive, but also its price presents extreme volatility, occasionally overpassing even cobalt [20]. Thankfully, the required amounts for substituting Co are not large, and this could provide a path towards sustainable improvements in SmCo₅-type materials.

It is important to assess the current trends and prospects for the permanent magnet market and the relevant raw materials that are necessary. Permanent magnets account for 30% of global rare-earth demand, but for specific rare-earth minerals like Nd, Pr, Dy, and Sm, permanent market applications are the main market: these raw materials account for 25% of total rare-earth production, but 80% of global market value [21]. Environmental and geopolitical issues drive advanced societies towards decarbonizing their economies, especially the transportation sector, with electric mobility products like vehicles and bikes seeing exponentially increased sales [22]. Global electric vehicles stock accounts for more than 30 million units and presents a rapid increase expected to reach 100-140 million units in 2030; 95% of battery electric vehicles use motors based on rare-earth permanent magnets [23]. Although the large volume of permanent magnets used in vehicles belong to the Nd-Fe-B family, there are certain applications that require the temperature endurance of SmCo₅ materials and the substitution strategies are in general the same in these systems, in general as described by C.C. Pavel et al. [24]. The improvement of rare-earth transition metal intermetallics in general can be utilized in a number of paths: element substitution, processing improvement, and engineering design. Engineering may play a vital role in materials' selection, for example, the replacement of SmCo₅-based materials in hightemperature applications with Nd-Fe-B-based magnets is not possible without proper cooling and vice versa. Also, apart from mobility, electricity generation depends on materials containing rare earths. Offshore wind generators are usually based on permanent magnets for construction simplicity since they do not require high rotation speed and they can be built without gearboxes (direct drive). It is expected that the specific advantages of direct-drive wind generators based on permanent magnets, i.e., light construction, better efficiency, especially at lower rotation speed, and lower maintenance cost, will increase their market share close to 50% by 2030 [25].

Geopolitical factors also play a vital role in product design and production, and this is perfectly illustrated in rare-earth raw materials. Studies [26,27] utilized the wavelet analysis method to study the correlation between rare-earth raw material prices and global

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geopolitical and economic factors. In their work, they prove that geopolitical events and rare-earth raw material prices are tightly connected, and this connection is bidirectional: higher prices increase geopolitical risk, while geopolitical tensions affect prices also. The authors also investigated the effect of global industrial activity on rare-earth raw material prices, and they documented the expected positive connection between these factors. There is increasing literature on the subject since the observed increase in rare-earth permanent magnet market is demand-driven [28–31].

Carefully targeted substitution can reduce the cost of a compound and improve the magnetic properties as well as the energy product. Much research has been carried out in the last few years to deal with these drawbacks. New intermetallic compounds based on $SmCo_5$ suitable for permanent magnets are produced by substitution of Fe, Ni, Cu or other transition (or non-transition) elements for Co or by reducing the content of expensive raw rare-earth materials with other rare-earth atoms, which may present larger abundance worldwide or less demand for applications and thus include less criticality and supply risk, like Ce and La. Ab initio methods like DFT-based calculations and other theoretical methods are also widely used to clarify and predict if it is possible to follow the two routes mentioned above [13,17,18]. High-entropy alloys are another interesting focus of research; however, reports on such alloys will not be included in our study. This review aims to present the progress of recent years in the efficient replacement of high-cost elements in $SmCo_5$ -type magnets.

2. A Short View of Structure and Magnetic Properties of SmCo₅ Magnets

 RCo_5 (R = Sm, Nd, Y, Ce) permanent magnets have been reported since 1967 [6]. The development of these magnets started with the $SmCo_5$ compound and are made from an alloy consisting of samarium and cobalt, often combined with traces of other rare-earth elements such as praseodymium and neodymium. $SmCo_5$ compounds crystallize in the hexagonal $CaCu_5$ -type structure with three non-equivalent atomic sites: Sm1-(1a), Co1-(2c), Co2-(3g) [32,33]. The crystal structure of $SmCo_5$ is shown in Figure 1a.

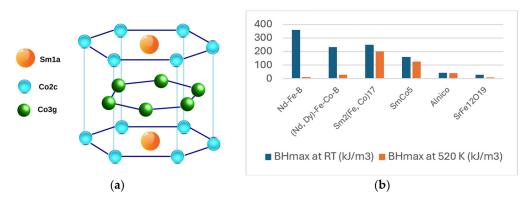


Figure 1. (a) Crystal structure of SmCo₅. (b) Energy products of classes of permanent magnets.

 $SmCo_5$ exhibits relatively high saturation magnetization (M_s) and at the same time a good temperature endurance with a Curie temperature (T_c) up to 1020 K and large magnetocrystalline anisotropy constant K_1 of about 17.2 MJ/m³ [34–36]. The combination of these properties produces large coercivity, and its origin may be traced to the rareearth sublattice, whereas the transition metal sublattice contributes to the hard magnetic properties and stabilizes via intercalative exchange the anisotropy at elevated temperatures. Some magnetic properties of $SmCo_5$ and other compounds are given in Table 1. It can be observed that $Nd_2Fe_{14}B$ has an energy product of 64.34 MGOe at RT, which drops to 1.52 MGOe at 523 K, while $SmCo_5$ has an energy product of 20 MGOe, a third that of $Nd_2Fe_{14}B$ at RT, but much higher at 523 K [17,35,36].

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	At 298 K				At 523 K		
Material	Ms (kG)	K1 (kOe)	_i H _c (kOe)	(BH) _{max} (MGOe)	iHc (kOe)	(BH) _{max} (MGOe)	T _c (K)
Sm ₂ (Co, Fe) ₁₇ based	12.19	10.69	25	36.95	10.3	25.4	1078
SmCo ₅	10.81	8.95	29	29.08	15.2	15. 8	1020
Alnico 5	14.07	0.74	0.75	5.3	0.75	5.18	1210

Table 1. Intrinsic magnetic properties of some magnets at 298 K [35] and 523 K [17]: saturation magnetization Ms, anisotropy constant K_1 , coercivity iHc and energy product (BH)_{max}.

3. SmCo₅ Transition Metal Substitution (Fe, Cu, Ni, Zr, Ti, and Nb)

The group of transition metals are sometimes mentioned as the d-block elements due to the fact that d electrons are the external unfilled shells. They are contained within the middle area of the periodic table and are most important for magnetic materials belonging in the fourth period (Sc, Ti, V, Cr, Mn, Fe, Co, Ni, Cu, Zn), with the exception of Nb, which belongs to the fifth. The electron configuration in is $(n-1)d^{1-10}$ ns^2 ; however, in some cases in intermetallics, the electrons are distributed in a different manner and subshells or partially filled orbitals may arise. Most common cations have a valence of +2 or +3, but there are some that may provide only one electron forming +1 cations or in some cases higher.

3.1. Substitution of Fe for Co

SmFe $_5$ is a metastable compound and is not present in the Sm–Fe phase diagram. The initial focus on partially replacing Co with Fe was due to the obvious fact that Fe is more abundant and thus cheaper. Fe is the second-most abundant metal in the Earth's crust after aluminum. This could reduce the price of raw materials needed for applications and potentially improve magnetization. Equilibrium solubility of Fe in SmCo system is limited, making this specific substitution difficult. The formation of metastable Sm (TM) $_5$ (TM = Fe-Co) compounds and their magnetic properties has been reported on by Miyazaki et al. since 1988 [37,38]. In that work, the rapidly quenched Sm (Co $_x$ Fe $_{1-x}$) $_5$ alloy ribbons were investigated. These ribbons were prepared at a velocity of 41.9 m/s and found to exhibit amorphous microstructure in the stoichiometric range $0 \le x \le 0.2$ for Sm (Fe $_{1-x}$ Co $_x$) $_5$.

It was depicted that the structure is retained in the range $0.6 \le x \le 1.0$ and single-phase alloys were produced by quenching. The single phase Sm(TM) $_5$ compound exhibits large coercivity of about 5 to 14 kOe. Despite large coercivity values, the performance of the materials was hindered by the low values of magnetization, which were measured at 16 kOe, lower than expected. It is well known that Fe has the highest atomic magnetic moment, but the coercivity of the ribbons with more 50% replacement of Co for Fe decreased.

Similar works to those mentioned above are also reported in [39,40], where the investigation of structural and magnetic properties of alloys with $SmCo_{5-x}Fe_x$ (x = 0–4) stoichiometry produced in the form of ribbons by melt-spinning. XRD and thermomagnetic studies revealed that the hexagonal $Sm(Co, Fe)_5$ phase can be retained with replacement up to x = 2 and that the large substitution of Fe for Co in the alloys will produce the appearance of other intermetallic phases like $Sm(Co, Fe)_7$ and $Sm_2(Co, Fe)_7$. Heat treatment of the materials increases the remanence up to x = 3, and further increase in Fe content will reduce both coercivity and remanence. This heavy dependence of structural and magnetic properties in the system on Fe content is the common ground in all similar studies. Annealed $SmCo_4Fe$ alloys exhibited a relatively high coercivity of 10.2 kOe combined with a remanence of 60 Am/kg (Figure 2), while thermally treated $SmCo_2Fe_3$ alloys were utilized in a nanocomposite magnet with a high remanence of 100 emu/g, but a rather low coercivity of 2.9 kOe [39].

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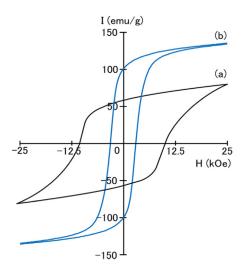


Figure 2. Hysteresis loops of Sm–Co–Fe melt-spun ribbons annealed at 873 K: (a) $SmCo_4Fe$ and (b) $SmCo_2Fe_3$ alloys. Reprinted from [39] with permission from Elsevier.

Although these results did not encourage the intensification of studying substitution of Co only by Fe, the efforts continued with the addition of theoretical tools [40–42]. Most of the findings are consistent with previous research. The origin of magnetocrystalline anisotropy and the effect of Si was described in [41]. In this case, the substitution scheme included both magnetic and non-magnetic atoms (Fe/Si). The magnetic hardness is mostly due to the atoms in 2c positions, the layers that also contain the rare-earth atoms. The Sm 4f electrons that belong to the highly asymmetric and localized orbital play a vital role. When Co atoms are replaced in 2c positions, the 4f orbitals are broadened and shifted towards the Fermi level, and this has a negative effect on the anisotropy. In the other layer of the structure, which is occupied by Co in 3g positions, the main contribution to the total magnetization can be traced; the presence of Fe atoms in these positions increases the magnetic moment to 14.02 μ_B in total and has a positive effect in the anisotropy. Si substitution favors thermodynamic stability in high-Fe content, but has a negative effect on the magnetic properties, both magnetization and anisotropy. Recent theoretical studies of $Sm(Co_{1-x}Fe_x)_5$ are in agreement with the experimental results we have described [42]. According to these calculations, magnetization is expected to increase up to $10.6 \mu_B$ from 7.8 μ_B by substitution of Co for Fe up to 80%. A small preference of Fe atoms for 3g crystallographic site was suggested and a Slater-Pauling-like behavior depending on the Fe content was calculated.

3.2. Substitution of Cu and Other Transition or Non-Transition Elements for Co on SmCo₅ Alloys

The replacement of cobalt with copper was also reported in several studies in the early eighties. In some cases, they result in solid materials with significant permanent magnet properties. In magnetic materials with R(Co, Cu)₅ stoichiometry, large coercivity values have already been reported in alloys in the as-cast form; these properties can be further enhanced by thermal treatment. Coercive forces in the vicinity of 28–30 kOe have been obtained in annealed samples [42,43]. Some research on SmCo_{1-x}Cu_x, as cast, annealed alloys, or single crystal, was published in the 1970s and 1980s [44–50].

According to Oesterreicher et al. [49] the magnetic hardness in pseudo-binaries like $SmCo_{5-x}Cu_x$ may already be an intrinsic property in nature and does not dependent on mechanisms such as pinning by other grain boundary phases. Under this scheme, coercivity is affected by temperature following a typical model based on thermally driven propagation of domain walls.

Metallographic and microprobe analysis of $SmCo_{1-x}Cu_x$ indicates the presence of spinodal decomposition in the metastable as-cast material [44–46]. This relates to the increase in coercivity after heat treatment in $Sm(Co,Cu)_5$ alloys, even under relatively low

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temperatures in the area of $300-500\,^{\circ}$ C; this has been correlated with spinodal decomposition into both Co- and Cu-rich Sm(Co,Cu)₅ phases [44,46]. Curie temperature determination and further DTA analysis have been depicted in a hypothetical Sm–Co–Cu ternary phase diagram. The critical initial amount of copper leads to decomposition within the range 24 to 40 at. % [46]. Resulting components retain the hexagonal CaCu₅-type structure but exhibit a disorder in the composition concerning the transition metal. Subsequent annealing at elevated temperatures in the area of $1073-1273\,$ K settles the stoichiometry of the materials. It seems that annealing affects the coercivity mechanism. The dominant domain-wall nucleation in the as-cast samples with relatively weak pinning at the grain boundaries changes towards a stronger domain-wall pinning process in the annealed alloys. Electron microscopy analysis of as-cast samples with moderate Cu content provided evidence for the existence of three phases: two of them retained the hexagonal CaCu₅-type structure but were Co-rich and Cu-rich, and an intergranular Cu-rich Ce₅Co₁₉-type phase [47,48].

Later in the 1990s, the properties of $Sm(Co,Cu)_5$ magnets were systematically studied over the full stoichiometry range and in a large temperature area, as reported by Blanco et al. [39–51]. They prepared polycrystalline $SmCo_{5-x}Cu_x$ (x=1,1.5,2,2.5,3,4) samples, both as cast and thermally treated. They observed that Curie temperature weakens with increasing Cu content (Figure 3), reaching very low values for 80% replacement of Co by Cu (Figure 3a). Coercivity presents intense temperature and stoichiometry dependence and reaches a maximum for $SmCo_{2.5}Co_{2.5}$ ribbons (Figure 3b). It seems that Cu atoms act like local defects enhancing the coercive field. In particular, they also measured the hysteresis loops in $SmCo_{5-x}Cu_x$ as-cast and annealed magnets ($1 < x \le 3$) at room temperature using a pulsed-field magnetometer and a static vibrating sample magnetometer and noticed a giant magnetic viscosity effect, proportional to copper content [52].

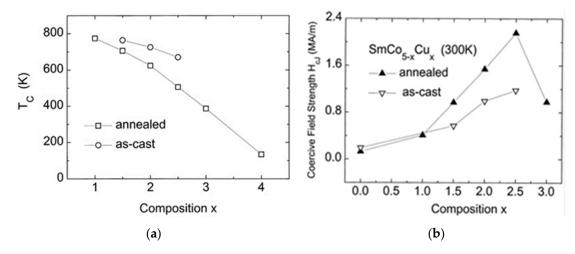


Figure 3. (a) Dependence of the Curie temperature on the concentration of $SmCo_{5-x}Cu_x$ compounds. (b) Coercive force at room temperature as a function of the chemical composition of Sm-Co-Cu alloys in the as-cast state and after heat treatment at 1273 K for three weeks and subsequent quenching. Reprinted from [51] with permission from Elsevier.

The internal mechanism that produces the large coercive fields in the $Sm(Co_{1-x}Cu_x)_5$ (0 < $x \le 1$) compounds has been also studied by focusing on structural parameters, namely, the coherence between unit cell parameters of $Sm(Co, Cu)_5$ and hcp Co with coercivity [53]. In this work, it was suggested that cobalt precipitates along grain boundaries may affect the coercivity in $Sm(Co,Cu)_5$ alloys. It was also mapped that intrinsic coercivity is optimized within the area between 60% and 80% replacement of Co by Cu. In all these cases, elevated thermal treatment was applied. Tellez-Blanco et al. annealed $Sm(Co, Cu)_5$ samples for 504 h (21 days) at 1000 °C [51,52]. Nishida et al. used a lower annealing temperature above 800 °C for 160 h [54]. Gabay et al. used a more complex approach: thermal treatment of the $Sm(Co, Cu)_5$ magnets for 100 h in two steps. The first lasted 50 h at 1050 °C and

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then another 50 h in a temperature range of 350–450 °C [55]. As such, the synthesis of Sm–Co–Cu ternary alloys must be considered a high-energy-consumption process.

A more recent work deals with these problems using reduction diffusion to synthesis, with improved energy and time efficiency compared to the previous metallurgical methods [56]. In this study, Haider et al. applied an optimized chemical method that is more energy-efficient for the synthesis of Cu-substituted SmCo₅ compounds. Following this scheme, chemical precursors including samarium, cobalt, copper and potassium chlorides (SmCl₃, CoCl₂, CuCl₂, KCl) were utilized for the synthesis of Sm(Co,Cu)₅ particles by adjusting the molar ratios of the reagents in order to keep Cu introduction in moderate amounts. Final products were thermally treated at 900 °C for only 2 h, a much shorter annealing time than traditional approaches, reducing overall preparation cost. Cu introduction in 2c positions in the hexagonal SmCo₅ crystal structure leads to weakening of the coupling in the surroundings. The resultant decoupling obviously affects all the magnetic properties of moment, magnetocrystalline anisotropy and coercive field (Figure 4). The magnetic moment was profoundly reduced, but coercivity and anisotropy were enhanced as a result of Cu substitution for Co. Enhancement of the magnetocrystalline anisotropy energy also affected the coercivity positively, and values of 4.50, 5.97 and 6.99 kOe for SmCo₅, SmCo₄Cu and SmCo₃Cu₂, respectively, were measured (Figure 4).

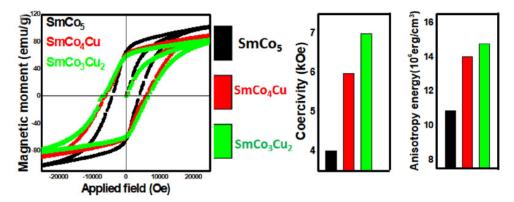


Figure 4. Magnetic hysteresis loops of $SmCo_5$ and $SmCo_{5-x}Cu_x$. Variations in magnetic moment, Hc, and anisotropy energy, before and after Cu substitution, obtained from [56], which is published as an open-access article and distributed under the terms of the Creative Commons CC BY license.

In addition to Fe and Cu substitution materials for Co in SmCo $_5$, alloys with substitution of other elements, such as Ni, Pt, Cu, Ag, Al, In, Si and Sn (transition or non-transition elements), have been synthesized [57]. The enthalpy of formation for some doping elements when they are introduced in 2c or 3g positions of alloys with nominal SmCo $_{4.5}$ M $_{0.5}$ stoichiometry are shown in Figure 5. Magnetic properties have been investigated at liquid helium temperature. In general, substitution of Co by non-magnetic elements like Al and Si weakens magnetic properties, especially saturation magnetization; however, when Al and Si replace Co in SmCo $_5$, the result is an outstanding increase in coercivity at values in the range of 30–50 kOe, even in bulk materials. Most of the other chemical combinations yielded unsatisfactory results.

The origin of these hard magnetic properties is usually discussed in terms of domain-wall pinning by atomic dimension centers that act as obstacles. Additionally, first-principle calculations and statistical thermodynamics can be utilized for studying the composition-dependent structural stability and the relevant magnetic and electronic properties of alloys with mediocre substitution of Co by other transition metal elements, e.g., alloys with nominal composition of $SmCo_{4.5}M_{0.5}$, M = Ti, Zr, Hf, Mn and Cr [58]. The addition of Ti, Zr, Hf and Mn was beneficial for the stability of the $SmCo_5$ phase, while doping of Cr was detrimental. It was found that the $SmCo_{4.5}M_{0.5}$ ternary alloys can be stabilized by Mn, Ti and Hf introduction over an even wider temperature range, while the overall magnetic

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moment of the $SmCo_{4.5}M_{0.5}$ system was usually weakened by non-magnetic element doping, as expected. On the contrary, doping with Mn increased the total magnetic moment.

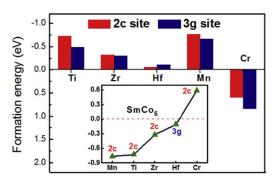


Figure 5. Formation energy of the doping element at 2c and 3g sites. The insert shows the formation energy of $SmCo_{4.5}M_{0.5}$ with different elements at the preferential site. Reprinted from [58] with permission from Elsevier.

3.3. Simultaneous Substitution of Two or More Transition Metals Like Fe and Cu for Co in $SmCo_5$ Alloys

Gabay et al. studied the possibility of stabilizing compounds with nominal composition $RCo_{5-x}Cu_x$ (R=Y, Sm) with respect to phase separation [55]. They utilized first-principle density functional calculations, and their observations imply that decomposition of the material into two separate phases with different copper content is energetically favorable. They also estimated that the magnetic state of the alloys is connected to parameters like the Cu content, which can be accommodated, and Cu atomic position preference. Thermal treatment of samples of alloys with nominal compositions of $SmCo_4Cu_1$, $SmCo_{3.5}Cu_{1.5}$, $SmCo_3Cu_2$ and $SmCo_{2.25}Fe_{0.75}Cu_2$ affects the application of important magnetic properties, notably the Curie temperature and coercivity. The latter increases significantly if the annealing temperature is 100–140 °C below the Curie temperature. For example, in the case of $SmCo_{2.25}Fe_{0.75}Cu_2$, room-temperature coercivity increases from 12.3 kOe to 37.3 kOe. A difference from other studies, especially [43–45], these experimental results do not seem compatible with the theory of spinodal decomposition. Another possibility suggested is that the improvement in coercive force has to do with differences in the occupancies of the transition metal atomic positions.

Concerning the maximum energy product of the final magnets based on the SmCo₅ alloy, the main limiting factor is the remanent magnetization of the basic ingredient. Exploration of both Fe- and Cu-substituted alloys has been successfully applied in a SmCo₅ system [59]. Additionally, partial substitution of Sm by Zr has been applied, as in the case of the related Sm₂Co₁₇ magnets: Zr can replace both transition metals and rare earths in relevant intermetallics [60]. Cu accumulates in the grain boundary phase and is responsible for the enhancement of coercivity under the domain-wall pinning mechanism [61]. The magnetic properties of these kinds of as-cast alloys depend strongly on the annealing temperature and cooling rate. The best prepared magnet with composition of SmFe_{0.4}Co_{3.5}Cu_{1.1} has a maximum energy product of 13 MGOe. This alloy was annealed for 2 h at 1100 °C, then slowly cooled in argon atmosphere [62]. Initial magnetization curves of as-spun SmCo_{3,839}Cu_{0,48}Fe_{0,48} ribbons and the variation in magnetization versus wheel speed at 20 kOe were generated [62]. Ribbons produced with higher wheel speed (\geq 30 m/s) exhibited single-phase SmCo₅-type structure. At higher wheel speed, the results were even more encouraging: a large value of coercivity of about 33 kOe was obtained in ribbons prepared at 50 m/s. This was attributed to the formation of single-phase Sm(CoCuFe)₅ crystallites, and in optimization of their size, grains of the high anisotropic phase are smaller due to the more intense cooling introduced by higher wheel speed.

It is worth mentioning the work of Zhu et al., which focused on the substitution of both Cu and Ti for Co Sm-Co magnets [63], a new class of materials with promising potential for usage in permanent magnet applications. They achieved an appreciable high-

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temperature coercivity of 8.6 kOe at $500 \,^{\circ}\text{C}$. Thermomagnetic analysis and temperature-varied X-ray diffraction patterns revealed that their samples were two-phase mixtures of the crystallographically related 2:17 and 1:5 type structures, and their combination was the reason for these interesting properties.

4. Substitution of p-Elements for Co

Another possible improvement is the partial introduction of p elements like Al, B, Ga instead of Co in the RCo₅ (R = Y, Pr, Nd, Sm, Gd, Tb) alloys [64–67]. In [64], the crystallographic and the magnetic properties of alloys with nominal composition RCo₄B (R = Y, Pr, Nd, Sm, Gd, Tb) are presented. The RCo₄B compounds crystallize in a hexagonal CeCo₄B-type hexagonal structure (S.G. P6/mmm); this phase is a derivative of the basic RCo₅-type structure [68] and is produced by a regular substitution of Co atoms by B ones in every second layer of the CaCu₅ structure parallel to the basal plane. A description of the structure is depicted in Figure 6. It shows that the R atoms now occupy two distinct sites, denoted 1a and 1b, Co atoms are distributed in the 2c and 6i positions, and the B atoms reside in the 2d positions. The saturation magnetization M_s and the Curie temperature T_c were found to reduce upon the B for Co substitution. However, the SmCo₄B compound still possessed good overall magnetic properties and had reduced Co content, thus making it a promising candidate for permanent magnet applications.

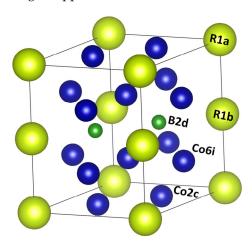


Figure 6. Crystal structure of the *R*Co₄B compounds (green spheres represent R atoms in 1b sites; blue spheres the atoms of Co in 3g sites and B represents B atoms in 2d sites) [69].

An interesting observation is the effect on the unit cell parameters of different rare-earth atoms. It is well known that with increasing atomic number across the lanthanide series, the ionic radius reduces (lanthanide contraction). In the case of the RCo₄B family, the c-axis of the unit cell of the hexagonal structure remains almost constant, while the a-axis slightly follows a rare-earth atomic radius, increasing when the atomic number is reduced. The alloys with R = Y, Pr, Nd are ferromagnetically ordered; however, heavy rare-earth atoms and Gd produce ferrimagnetic compounds. Easy magnetization axes at ambient temperatures depend on R content. In contrast to the substitution effect of B for Co in the RCo₅ compound, which crystallizes into a CeCo₄B type of structure, the substitution of Co by Ga and Al preserves the CaCu₅-type structure of RCo₅ [70]. Some magnetic properties like the saturation magnetization and the Curie temperature are weakened when Ga or Al replace Co; however, the magnetocrystalline anisotropy and subsequently the coercive field are stronger in a similar manner to the Cu introduction.

As mentioned above, introducing Cu or Al to $SmCo_5$ positively affects coercivity, but negatively affects magnetization. A question arises as to whether a multiple substitution, for example, Al, Cu and Fe for Co, could improve both the stability and the magnetic properties of the compounds. This has been the scope of works like those in [71,72]. Samples of alloys with nominal composition $SmCo_5 + xAl_{82.8}Cu_{17}Fe_{0.2}$ (x = 3–7) in the form of

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ribbons were melt-spun at a wheel speed of 40 m/s. Glassy in the as-span form, subsequent thermal treatment improved the crystallinity and introduced changes in the phase balance and the microstructure of the materials. The 3–5 wt% Al-Cu-Fe alloying addition was found to be the optimum for improving the desired magnetic properties. Annealing the alloys at temperatures about 500 °C increased both the coercivity and mass magnetization. Even at elevated temperatures up to 600 °C, these materials presented a large coercivity of 26.8 kOe, but with the usual trade-off in saturation magnetization. The increase in coercivity at elevated temperatures was attributed to a large amount of Sm(Co, M)₅ grains, which improved the crystallinity of the material and the size optimization of the grains.

5. A New Promising Magnetic Material with CaCu₅ Structure

A new boost in the research efforts in the SmCo₅ family of alloys was initiated by ab initio and other computational methods. The effect of partial substitution of Co by Ni on the crystal structure of the basic SmCo₅ compound has been investigated by computational methods [73–79] with the aim of determining the composition that will optimally stabilize the structure and maintain good magnetic properties at the same time. Ab initio atomistic simulations implemented using density functional theory calculations are a useful tool for determining the stability and other properties of intermetallic compounds. For example, in the case of the SmCo_{5-x}Ni_x series (x = 1–5), all possible crystallographic combinations of Co and Ni atoms in the hexagonal structure can be tried and relevant formation energies calculated [73]. A schematic illustration of four different cases of the unit cell of SmCoNi₄ is shown in Figure 7.

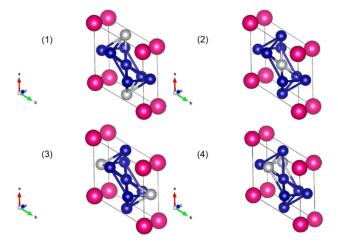


Figure 7. Schematic illustration of four different cases for the arrangement of Co and Ni atoms in the unit cell of $SmCo_4Ni$. Cases **1**, **2** and **3** are degenerated, Case **4** can be produced by symmetry operations. Red spheres represent the atoms of Sm, blue spheres the atoms of Co and silver spheres the atoms of Ni. Reprinted from [73] with permission from Elsevier.

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belonging in the SmFe₅ phase were produced for the first time in the form of ribbons by utilizing rapid quenching and carefully optimizing the annealing parameters [74]. SmFe₅ grains were detected in the ribbons, and after thermal treatment at 1073 K, the samples presented a coercivity of 1.2 kOe.

This issue (only replacing Ni for Co decreases the T_c and M_s and only replacing Fe for Co makes the system unstable) was recently addressed by two theoretical studies, where the stability of modified SmCo₅ alloys by partial replacement of Co by Fe and Ni at the same time was investigated [75,76]. Based on ab initio calculations, Söderlind et al. suggested a very efficient permanent magnet with nominal SmCoNiFe₃ stoichiometry, which was thermodynamically stable while crystallizing in the well-known SmCo₅ type. They observed a connection between the number of transition-metal 3d electrons and the subsequent stability of the hexagonal structure of the SmM₅ compound (M = Fe, Co, or Ni). The dependence of the experimental energy of formation on the number of 3d electrons was plotted [75]. The calculated formation energy of SmCoNiFe₃ is on the negative part of this extrapolated curve, indicating a thermodynamic stability of this hypothetical compound. The values of energy formation for SmM₅ (M = Ni, Co) were taken from [77,78].

By means of first-principle electronic structure calculations, they found that the magnetic properties of SmCoNiFe $_3$ (energy product, T_c , Ms) are close to the respective properties of Nd-Fe-B types of magnets (Table 2). Substituting most of the Co with Fe in SmCo $_5$ and doping with a small amount of Ni could be a path towards a new material for permanent magnet applications, which could combine adequate values for all critical magnetic properties and could potentially interchange SmCo $_5$ or even Nd-Fe-B based magnets in several applications.

			<u> </u>		
_	Material	M _s (MA/m)/kG)	T _c (K)	K1 (MJ/m ³)	BH _{max} (kJ/m ³)/MGOe)
	Nd ₂ Fe ₁₄ B	1.28/16.8	588	4.9	512/64.34 *

Table 2. Magnetic properties of Nd₂Fe₁₄B, SmCo₅ and predicted SmCoNiFe₃.

0.86/10.81

1.08/13.57

SmCo₅

The enthalpy formation energy of SmCoNiFe₃ was just below the dividing line of the stable–unstable enthalpy formation region. Increasing the number of 3d electrons, i.e., increasing the Ni content, has a positive effect on the stabilization of the compound. It is underlined that an alloy in this family of compounds is stable when it incorporates at least 7.2 3d electrons per transition metal atom. For SmCoNiFe₃, this value is 7.3, which is marginal but probably enough to stabilize the compound.

1020

1103

17.2

9.2

231/29.08 *

361/45.37 **

Despite the confidence in the manufacturing of SmCoNiFe₃, the experimental synthesis of this compound has not yet been reported. An approach toward this synthase was attempted by Gavrikov et al. and G. Sempros et al. [79,80]. Based on calculations, ribbons with nominal composition $Sm(Co_{1-x-y}Fe_xNi_y)_5$ (x=0.15,0.3,0.45;y=0.05,0.1,0.15) were prepared [81]. The ribbons consisted of two phases, the main phase being $SmCo_5$ (up to 70 wt%), while the other belonged to $Sm(CoFe)_3$ -type. Co reduction points towards lattice expansion, decrease in Curie temperature and coercivity and improvement of mass magnetization. The maximum Ni and Fe content was in a sample with $SmCo_2Fe_{2.25}Ni_{0.75}$ stoichiometry. Among their samples, $SmCo_3Fe_{1.5}Ni_{0.5}$ presented the highest coercivity at about 10.9 kOe and the largest remanent magnetization of 51 Am^2/kg , the highest energy product.

According to the predictions of ab initio simulations [80], the $SmCo_{2.5}Fe_{1.5}Ni$ composition presents a large mass magnetization, not much smaller than the value of the mother $SmCo_5$ compound. By simultaneously substituting Co with Fe and Ni, a favorable interplay between stability and magnetization could be tuned, benefiting from the additional advantage of the reduction of Co content. However, the experimental values for the critical magnetic properties—especially mass magnetization—of $SmCo_{2.5}Fe_{1.5}Ni$ were found to be lower than predicted, possibly due to secondary impurity phases.

SmCoNiFe₃
* [35,36], ** [75].

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6. Recent Experimental and Theoretical Research Approaches on SmCo₅ Alloys: The Case for Mm (Misch-Metal) Substitution for Sm on SmCo₅ Alloys

Replacing Co with other transition or non-transition elements improves magnetic properties such as coercivity and anisotropy field at the expense of others such as energy product or does not produce stable phases, suitable for bulk permanent magnets [74]. Thus, a possible substitution scheme in the SmCo₅ alloys cannot rely entirely on a transition metal (or non-transition element) sublattice but could also include that of rare earths. Sm replacement could be realized with a light rare-earth atom with an atomic number less than Gd. These atoms have negative Stevens's coefficients, as opposed to Sm, which has a positive one [5]. This practically means that their 4f orbital has a different shape and possibly favors different arrangements within the crystal field of the material, making it possible that they could provide different magnetic properties in the case of simultaneous substitution within both the rare-earth and transition metal sublattices. Within the lanthanide series, there are also variations in the relative content of the reserves. Most of the mining sites around the world are composed of minerals that contain a mixture of various rare-earth oxides, but their relative amounts are not the same. Heavy rare earths are less abundant, while some elements, namely, Ce and La, are practically overproduced [81], sometimes referred as "free rare earths". This fact is often denoted as the "rare-earth balance issue" [82].

A high-performing permanent magnet seems unlikely to be manufactured with Ce or La as a basic ingredient, due to the specific properties of these atoms and especially their electronic configuration. However, a partial replacement of an expensive rare-earth element by a less expensive one may establish a material that could be used as the basis for a "gap" magnet [15] and may find potential use in applications with low cost. Due to the different shape of the 4f orbital and thus the impact on the nature of the interactions within the crystal field, this partial replacement may provide a path towards replacement of high-cost Sm in the Sm-Co system.

Development of new materials and alloys using selective La-Ce modification has been demonstrated recently in the case of Nd-Fe-B-based permanent magnets [83,84]. The introduction of Ce and La in the SmCo $_5$ system has attracted attention as early as the discovery of the initial compound. M. G. Benz and D. L. Martin studied Sm-Co magnets, where Sm was partially substituted by misch metal [85]. In the specific study, the misch metal contained a large amount of other rare earths, mostly Nd, but three quarters of the mass content were Ce and La in a 2:1 mass ratio. Results were encouraging, since a BH_{max} of about 20 MGOe was obtained, about 60% of SmCo $_5$ -based permanent magnets, while possessing such advantages as simplification of overall processing and compatibility with existing industrial infrastructure. Some magnetic properties are improved, and regarding the supply cost, the overall merit of the material is improved. Another important research area towards development of new materials suitable for applications as permanent magnets is the theoretical understanding of the fundamental underlying physics.

Lately, a recent series of theoretical as well as experimental studies developed the possibility of simultaneously introducing metallic Ce-La at a Ce:La ratio of 3:1 into the $SmCo_5$ system and reducing the Co content [80,86–88]. The theoretical framework of the compounds and the relevant ab initio parameters were intensively investigated. It was also detected that the Ce electronic states are located closer to the Fermi level compared to the other cases [87]. This is an indication of the important role of Ce atoms in the modification of the magnetic properties upon substitution of Sm. However, the most dominant factor for magnetization is still the Co–Co interatomic distances.

 $Sm_{0.5}MM_{0.5}Co_5$ was also synthesized experimentally yielding a relatively high Curie temperature of 828 K and fair saturation magnetization of about 61 Am²/kg. X-ray diffraction patterns (Cu K α radiation) of $Sm_{1-x}MM_xCo_5$ samples and RT hysteresis loops of $Sm_{0.5}MM_{0.5}(CoFeNi)_5$ are shown in Figure 8. The whole range of synthesized (SmMM)Co₅ alloys exhibit uniaxial magnetocrystalline anisotropy and hard magnetic properties. The experimental value for magnetization is lower than theoretical calculations, a somewhat expected result due to minor defects in samples. The feasibility of misch metal introduction in the $SmCo_5$ system was confirmed both experimentally and theoretically. Considering

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that MM is much cheaper than Sm, this material could serve as a possible candidate for applications in the "gap" performance region we described earlier.

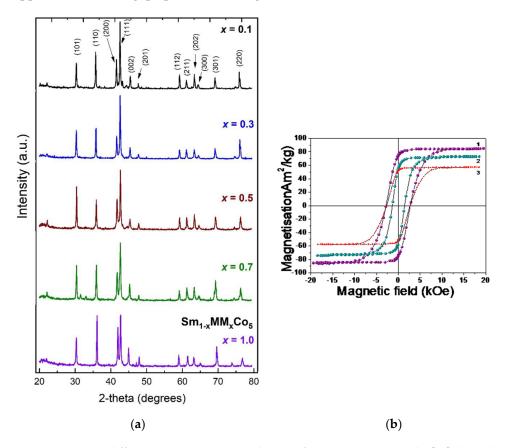


Figure 8. (a) X-ray diffraction patterns (Cu K α radiation) of $Sm_{1-x}Mm_xCo_5$ samples [86]. (b) RT hysteresis loops of $Sm_{0.5}Mm_{0.5}Co_4Fe_{0.5}Ni_{0.5}$ (1) $Sm_{0.5}Mm_{0.5}Co_3FeNi$ (2) and $Sm_{0.5}Mm_{0.5}Co_4Ni$ (3) samples [87].

Curie temperature and mass magnetization both drop about 30% from $SmCo_5$ to $MMCo_5$, almost linearly, with the compounds with 30% substitution still presenting almost all the $SmCo_5$ basic compound's potential. Curie temperature of $Sm_{0.7}(Ce-La)_{0.3}Co_5$ was measured at 876 K and mass magnetization at 96 Am^2/kg (Figure 9). For high Ce_3La content. the Th_2Ni_{17} -type phase is also found. Due to their large crystallographic similarity, the latter can be considered a derivative of the original $CaCu_5$ -type structure by replacing a third of the rare-earth atoms with pairs of transition metal atoms, here Co, arranged along the c-axis.

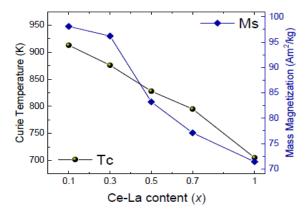


Figure 9. Dependence of magnetic properties on Ce, La content in $Sm_{1-x}(Ce_{0.75}La_{0.25})_xCo_5$ (x = 0.1–1.0) samples [86].

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These pairs are called dumbbells. All SmCo₅ derivatives must satisfy Stadelmaier's criterion—one crystallographic unit cell must obey the equation $p_{\text{der}} \approx {}_{(1:5)} \cdot \sqrt{3}$ —in this case, the a-axis on the basal hexagonal plane [89]. Ab initio theoretical calculations confirmed the most important results, with the exception of magnetocrystalline anisotropy energy. Magnetocrystalline anisotropy energy cannot be predicted correctly in conjunction with atomic magnetic moments using the same potential within relativistic linearized augmented plane wave (FLAPW) approach. P. Larson et al. [88] pioneered the discussion of this issue by using an extended model containing a potential U beyond the local density approximation (LDA + U); this potential adjusts the electrostatic coulomb interaction of the rare-earth f orbital [88]. Exchange potentials are corrected with generalized gradient approximation (GGA) [89]. In their work, they followed an approach typical for experimental investigation of anisotropy in rare-earth transition metal intermetallics: they studied, theoretically, the Y member of the family, YCo₅ in this case, where they detected that the Co atom layout contributes to magnetocrystalline anisotropy energy, a minor contribution, but larger than pure Co hcp arrangement. The spin-down density of states is observed to present a peak close to the Fermi energy. Of course, the main source of anisotropy in the material are the Sm 4f electrons, which are spin-orbit coupled, and their highly asymmetric orbital interacts with crystal field, as mentioned already. Treating those electrons as localized rather than "open core" and a selection of U above 5 eV predicts magnetocrystalline anisotropy energy close to the experimental values (10-15 meV), but leads to a discrepancy of more than 2 Bohr magnetons in atomic magnetic moment. On the other hand, lower selection for U in the vicinity of 4 meV accurately predicts the atomic magnetic moments while significantly overcalculating magnetocrystalline anisotropy energy. This is in general the common ground among many works in literature [75,76,86,88–97]. J. X. Zhu et al. have combined LDA with Dynamic Mean Field Theory (LDA + DMFT) to investigate the correlated electron effects on the magnetocrystalline anisotropy energy, since they play a crucial role to orbital component of atomic moments and consequently those moments directly affect the magnetocrystalline anisotropy. Their main assumption is that both orbital and spin factors of atomic moments originate from the correlated shells, and they structured accordingly Green and Weiss functions in their calculations. The inclusion of DMFT results in a higher estimation of the orbital moment. Their calculated magnetocrystalline anisotropy energy of YCo₅ of 3.5 meV/f.u. is consistent with experiments (3.2 meV/f.u.). Under this approach, the Coulomb potential U remains important as well as the spin orbit coupling, but the importance of correlated systems is underlined [95].

C. Patrick and J. Staunton [97] proposed using self-interaction-corrected DFT (SIC-DFT), an approach that removes the self-interaction error that hinders the performance of simple DFT calculations. They also employed the disordered local moment (DLM) picture of magnetism in order to adjust for the effects of temperature in atomic magnetic moments. They modeled the magnetic moment arrangement in finite temperatures and provided Curie temperature calculations; the latter are not provided by DMFT. They were able to imitate the atomic moments and critical temperature tendency across the RCo₅-type isostructural compounds for all lanthanide series plus Y. The tuning of computational parameters in order to match the results with experimental evidence is also a common practice in the literature and provides meaningful insight into the physics of these complex intermetallic systems. Unfortunately, but possibly in correlation with experimental reality, SmCo₅ seems to be the strongest magnet, especially at elevated temperatures, at least for CaCu₅-type compounds with a single rare earth.

More complicated stoichiometries in the vicinity of the high-entropy alloy region, $Sm_{1-x}MM_xCo_{5-y-z}Fe_yNi_z$ (x=0–0.7; y=0.5–1.5; z=0.5–1) has also been synthesized and studied both experimentally and theoretically by computational methods [75,88]. The introduction of Fe and Ni simultaneously with Mm did not seem to fully stabilize the hexagonal CaCu₅-type structure for very high Ce₃La misch metal contents. Practically single-phase samples have been acquired for 50% replacement of Sm by misch metal and 20% substitution of Co by Fe and Ni in equal concentrations. Magnetic properties are

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weakened, but slower than the reduction in cost. The $Sm_{0.5}Mm_{0.5}Co_4Fe_{0.5}Ni_{0.5}$ compound presents a mass magnetization of $85~Am^2/kg$, which is reasonably close to the value of the mother compound. Ab initio calculations confirm experimental results, and the usual higher estimation of magnetization is still present. A positive effect of Ni in the overall thermodynamic stability of the material was observed theoretically, with the trade-off of negative effects in magnetic properties.

7. Summary

This review presents the progress on modification of SmCo₅-type alloys suitable for permanent magnets. SmCo₅ is the basic material for an important class of permanent magnets and presents enormous uniaxial magnetocrystalline anisotropy and large temperature endurance.

A way to produce new low-cost SmCo5-type compounds is chemical modification, reducing the Co content by substitution with lower-cost elements, or replacing Sm with abundance greater than their demand. An important instrument to achieve these goals are theoretical calculations in predicting the possible stable alloys and their magnetic properties. Partial substitution of Co with *d*-block elements (Sc, Ti, V, Cr, Mn, Fe, Co, Ni, Cu, and Zn) has been widely studied. CaCu₅-type structures can be stabilized by replacing Co with a limited amount of Fe or Cu or both, mainly in SmCo_{1-x}M_x (M = Fe, Cu) ribbons. Depending on the mode of preparation, different magnetic properties of SmCo_{1-x}M_x are reported. The coercivity and magnetization increases for small Fe or Cu atom substitution, then decreases rapidly. When crystallized on a single CaCu₅-type phase, SmCo_{1-x}Fe_x ribbons exhibit a coercivity up to 14 kOe, which was gradually decreased when Fe content increased from x = 0.6 to 1.0. SmCo_{1-x}Cu_x exhibits a much higher coercivity, reaching a value of 27 kOe in a composition range from x = 0.6 to 0.8. However, the nonmagnetic atom Cu decreases the magnetization and Curie temperature drastically.

Researchers often use expensive methods such as induction melting or arc melting in the range of $1300-1400\,^{\circ}$ C, then annealing the samples at high temperature. Chemical methods are a possible alternative. Using a reduction diffusion to synthesize the materials could be proven cost-efficient when compared to the established metallurgy methods. Cu doping may reduce magnetization, but on the other hand may induce positive changes in the anisotropy energy and coercivity. The cost of this magnet decreases, but the coercivity reaches a modest value of $\sim 7\,\mathrm{kOe}$

The partial substitution of Co with p elements like Al, B, Ga in RCo₅ (R = Y, Pr, Nd, Sm, Gd, Tb) alloys influences their magnetic properties and structure. The RCo₄B alloys crystallize in the hexagonal CeCo₄B-type structure. Although the saturation magnetization $M_{\rm S}$ and $T_{\rm C}$ are decreased upon B substitution for Co, the SmCo₄B compound still possesses excellent magnetic properties and is promising for permanent magnet applications. Substitution of Co by Ga and Al in the RCo₅ compound maintains the CaCu₅-type structure of RCo₅.

The effect of partial Ni substitution for Co on the structural properties of $SmCo_5$ has been investigated by computational methods. Energetically favorable atomistic configurations of $SmCo_5$ -xNix were found. However, these configurations do not exhibit the maximum magnetization. Replacement of Co by Ni in the $SmCo_5$ system does not favor maximum magnetization, while replacement of Fe by Co the $SmCo_5$ system is unstable. This controversy was recently addressed by two theoretical studies, where a new stable and efficient $SmCoNiFe_3$ permanent magnet was proposed. The magnetic properties of the predicted $SmCoNiFe_3$ (energy product, T_c , Ms) are better than the corresponding properties of $Nd_2F_{14}B$ magnet types. Therefore, $SmCoNiFe_3$ can potentially replace $SmCo_5$ or Nd-Fe-B types in many applications. Despite these efforts, the experimental synthesis of this predicted magnet has not yet been reported.

The introduction of Ce and La in the SmCo₅ system attracted attention as early as the discovery of the initial compound. Lately, a recent series of theoretical as well as experimental studies developed the possibility of simultaneously introducing metallic Ce-La at a Ce:La ratio of 3:1 into the SmCo₅ system and reducing the Co content. The theoretical framework of the compounds and the relevant ab initio parameters were also

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intensively investigated. Preliminary calculations showed that 50% replacement of Sm by Ce_3La misch metal was of specific interest.

The simultaneous replacement of Sm by 50% Mm and 20% substitution of Co by Fe and Ni in equal concentration seems to stabilize the hexagonal $CaCu_5$ -type structure, practically acquiring a single-phase sample. The partial replacement of an expensive rare-earth element by a less expensive one may establish a material that could be used as the basis for a "gap" magnet and may find potential use in applications with low cost.

There is still room in the research field to modify SmCo₅ alloys. Modification of RCo₄B by replacing Co with another transition metal or non-transition metal and/or Mm Ce-La could lead to new alloys with low cost and good magnetic properties. The processing of Sm-Mm-Co-M is also an open field of research to produce low-cost permanent magnets.

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